# On evaluating consensus in RANSAC surface registration



#### Lukáš Hruda, Jan Dvořák, Libor Váša

University of West Bohemia, Faculty of Applied Sciences, Department of Computer Science and Engineering *hrudalu@kiv.zcu.cz, jdvorak@kiv.zcu.cz, lvasa@kiv.zcu.cz* 



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- Two objects (P and Q) represent different parts of an object O
- Both objects have some common parts (overlap)





- Align P and Q based on their common parts
- **Rigid** transformation T



- Usually a two-step process
  - Global registration
    - approximate alignment
    - fully independent of the initial position and orientation
  - 2 Local registration refines the alignment (e.g. ICP [BM92])

Existing approaches

- Hough transform [Bal87, CC09]
- Phase correlation in frequency domain [BB13]
- Evolutionary algorithms [BS96, CTL04]
- Iterative FGR [ZPK16]
- RANSAC [MPD06, AMCO08, CC09]
  - includes current state of the art Super4PCS [MAM14]

- O Numerous candidate solutions (transformations) are created
- Interpretation The candidates are evaluated consensus evaluation
  - The best candidate(s) is(are) selected

## Evaluating Consensus

- Usual approach consensus with data
- Most common surface overlap (Largest Common Point set LCP) Super4PCS
  - Counting points of T(Q) close to P
  - Requires some distance parameter
  - Quite sensitive
  - Largest overlap  $\neq$  best solution

## **Evaluating Consensus**

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  - Largest overlap  $\neq$  best solution
- Instead consensus among the candidates (mode)



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- Used previously for finding partial symmetries Partial and Approximate Symmetry Detection for 3D Geometry, Mitra et al., 2006
  - Clustering in transformation space (Mean shift)

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- Registration is not the same problem clustering inappropriate
  - Outputs several clusters only one transformation is wanted
  - Requires quite large number of candidates
  - Quite slow in general

- No clustering
- Instead we find the density peak
  - More appropriate for registration
- Proper **metric** is needed

- Study a general RANSAC registration algorithm
  - Density peak estimation for evaluation
  - Efficient using Vantage Point Tree
- Test various transformation distance metrics
- Propose some novel improvements to one of the metrics

## Model Registration Algorithm

- Points of Q are paired with points of P based on similar principal curvature estimates
- Candidate transformations are created by aligning local frames of the paired points
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• Density function:

$$\rho(T) = \sum_{i} K(d(T_i, T))$$

- $T_i \in candidates$
- $d(T_1, T_2)$  distance function
- $K(r) = e^{-(Dr)^2}$  Gaussian kernel
- D spread parameter
- We do not search for the global maximum of  $\rho(T)$
- Only maximum among candidates

- Only small values of  $d(T_i, T)$  contribute significantly to  $\rho(T)$
- Only  $T_i$  for which  $d(T_i, T) < r_{max}$  are considered
- Vantage Point Tree [Yia93]
  - Partitions the space based on distances
  - Average query complexity: O(log(n))
  - $d(T_1, T_2)$  must be a **metric**

- Decompose T into rotation R and translation  $\mathbf{t}$
- Weighted sum of a rotation metric and the difference of translations



#### = **composed** metric

Composed metrics

$$d(T_1, T_2) = c_R \frac{d_R(R_1, R_2)}{k_R} + c_t \frac{\|\mathbf{t_1} - \mathbf{t_2}\|}{k_t}$$

- $R_1$ ,  $R_2$  rotation matrices,  $t_1$ ,  $t_2$  translation vectors
- $C_R$ ,  $C_t$  set the ratio and overall scale
- $k_R$  normalizes  $d_R$ , so that  $d_R/k_R \in [0,1]$
- $k_t$  normalizes  $\|\mathbf{t_1} \mathbf{t_2}\|$  w.r.t. size of Q

Composed metrics - drawbacks

- Order of operations two equivalent forms of rigid transformations
  - $T(\mathbf{x}) = R\mathbf{x} + \mathbf{t}$  rotation-first form
  - $T(\mathbf{x}) = R'(\mathbf{x} + \mathbf{t}')$  translation-first form
  - Different metric values
  - Arbitrary choice
  - We use rotation-first

Composed metrics - drawbacks

- Dependence on position
  - Not depending on position of P
  - $\bullet\,$  Strongly depending on the distance of Q from origin



Centered Q

# Non-centered Q

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Composed metrics - drawbacks

- Dependence on orientation
  - Independent of orientation of P and Q only if  $d_R$  is **bi-invariant**
  - Bi-invariance:  $d_R(R_1, R_2) = d_R(R_1R_0, R_2R_0) = d_R(R_0R_1, R_0R_2)$  for any  $R_0, R_1, R_2$

Composed metrics - Used rotation metrics

• DEA: 
$$d_R^{DEA}(R_1, R_2) = \sqrt{d(\alpha_1, \alpha_2)^2 + d(\beta_1, \beta_2)^2 + d(\gamma_1, \gamma_2)^2}$$
  
 $d(a, b) = min\{|a - b|, 2\pi - |a - b|\}$ 

**2** DQ:  $d_R^{DQ}(R_1, R_2) = min\{\|\mathbf{q}_1 - \mathbf{q}_2\|, \|\mathbf{q}_1 + \mathbf{q}_2\|\}$ 

**③** ADPQ: 
$$d_R^{ADPQ}(R_1, R_2) = \arccos(|\mathbf{q}_1^T \mathbf{q}_2|)$$

• DPQ: 
$$d_R^{DPQ}(R_1, R_2) = 1 - |\mathbf{q}_1^T \mathbf{q}_2|$$

**9** DIM: 
$$d_R^{DIM}(R_1, R_2) = \|I - R_1 R_2^T\|_F = \|R_1 - R_2\|_F$$

- $\mathbf{q}$  unit quaternion corresponding to R
- $\alpha,\beta,\gamma$  Euler angles corresponding to  ${\it R}$
- All except DEA are bi-invariant [Huy09]

Composed metrics - Dealing with the drawbacks

• Center Q around the origin, only use bi-invariant rotation metrics

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# OR

Composed metrics - Dealing with the drawbacks

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# Measure the difference of the effects on the data



= **compound** metric

Compound metrics - Vertex Sum of Squares (VSS)

• Squared distances of points transformed by  $T_1$  from points transformed by  $T_2$  [PHYH06]

$$d(T_1, T_2)^2 = rac{c^2}{k^2} \sum_{i=1}^{
u_q} \| T_1(\mathbf{q}_i) - T_2(\mathbf{q}_i) \|^2$$

- Only requires one parameter
- c the scale parameter
- k normalizes the metric w.r.t. the size and point count of Q
- Independent of position and orientation
- Can be computed in  $\mathcal{O}(1)$
- Drawback depends on sampling density

Compound metrics - Triangle Sum of Squares (TSS)

• Solution - integrate over triangles

$$d(T_1, T_2)^2 = rac{c^2}{k^2} \sum_{i=1}^{\tau_q} \int_{t_i} \|T_1(\mathbf{x}) - T_2(\mathbf{x})\|^2 d\mathbf{a}$$

- $t_i$  i-th triangle
- c the scale parameter
- k normalizes the metric w.r.t. the size and surface area of Q
- Can be expressed in closed form
- Still  $\mathcal{O}(1)$  (precisely)



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- 14 datasets (registration problems)
- Known correct transformations
- Error evaluation:

error
$$(T) = rac{1}{k} \sum_{i=1}^{\tau_q} \int_{t_i} \|T(\mathbf{x}) - T_c(\mathbf{x})\| da$$

- $T_c$  correct transformation
- k normalizes the error w.r.t. the size and surface area of Q
- The triangle integrals are approximated
- Failure if error(T) > 0.15

#### Results

#### • 7 different metrics

- Composed metric with all 5 rotation metrics
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- Optimal coefficients needed to be found
  - Exponential distribution was used
  - $c_R = 1.5 \cdot 2^{i/3}, c_t = 1.5 \cdot 2^{j/3}; i, j = 0, 1, 2, ..., 29$
  - For compound metrics  $c = c_R$

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  - White: good, Black: bad, Red: estimated optimal



	DEA		DQ		ADPQ		DPQ		DIM		VSS		TSS	
Dataset	Error	FC												
<b>Arm</b> adillo	0.0683	23	0.0479	0	0.0485	0	0.0486	0	0.0463	0	0.0455	0	0.0480	0
<b>Bir</b> d	0.0673	0	0.0672	0	0.0672	0	0.0669	0	0.0672	0	0.0673	0	0.0672	0
<b>Bub</b> ba	0.0032	0	0.0035	0	0.0039	0	0.0059	0	0.0039	0	0.0034	0	0.0023	0
<b>Bud</b> dha	0.0363	0	0.0255	0	0.0252	0	0.0246	0	0.0241	0	0.0242	0	0.0242	0
<b>Coa</b> ti	0.0283	0	0.0211	0	0.0208	0	0.0212	0	0.0202	0	0.0199	0	0.0200	0
<b>Dra</b> gon	0.0258	0	0.0222	0	0.0221	0	0.0233	0	0.0221	0	0.0211	0	0.0209	0
Eggs	0.0758	98	0.0852	120	0.0868	123	0.0967	142	0.1003	143	0.1202	179	0.0915	140
Head	0.0079	0	0.0081	0	0.0082	0	0.0089	0	0.0083	0	0.0081	0	0.0075	0
<b>Hip</b> po	0.0760	32	0.0560	0	0.0558	0	0.0566	0	0.0556	0	0.0528	0	0.0538	0
<b>Kac</b> hel	0.0187	0	0.0152	0	0.0156	0	0.0168	0	0.0153	0	0.0156	0	0.0155	0
<b>Osc</b> ar	0.0044	0	0.0039	0	0.0040	0	0.0048	0	0.0039	0	0.0036	0	0.0034	0
<b>Suz</b> anne	0.0139	0	0.0133	0	0.0139	0	0.0144	0	0.0130	0	0.0132	0	0.0130	0
<b>Tee</b> th	0.0160	0	0.0137	0	0.0135	0	0.0148	0	0.0131	0	0.0129	0	0.0127	0
<b>Tes</b> tbody	0.0189	0	0.0175	0	0.0172	0	0.0197	0	0.0181	0	0.0186	0	0.0171	0
Total	0.0329	153	0.0286	120	0.0288	123	0.0302	142	0.0294	143	0.0305	179	0.0284	140

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#### Results of LCP

- LCP used instead of the density peak estimation, fail count measured
- $\delta'$  is relative to the size of Q

	δ'							
Dataset	0.0025	0.005	0.01	0.02	0.04			
Arm	619	8	0	0	0			
Bir	887	757	633	983	1000			
Bub	0	0	0	16	1000			
Bud	112	0	0	0	0			
Coa	59	0	0	0	0			
Dra	330	1	0	0	0			
Egg	215	4	0	0	0			
Hea	0	0	0	0	645			
Hip	708	324	219	76	3			
Kac	368	0	0	0	327			
Osc	0	0	0	0	0			
Suz	90	8	6	438	1000			
Tee	0	0	0	0	0			
Tes	375	55	1	0	0			
Total	3763	1157	859	1513	3975			

#### Conclusion

#### • Consensus evaluation by density peak estimation

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  - Times comparable to Super4PCS
- It is more reliable and stable than LCP
- Different transformation distance metrics were compared
  - All seem to be usable
  - Composed metrics have fundamental drawbacks
  - Compound metrics have no drawbacks and perform as well or even better
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- Times comparable to Super4PCS
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  - Composed metrics have fundamental drawbacks
  - Compound metrics have no drawbacks and perform as well or even better
    - => There is no reason to prefer composed over compound metrics
  - The proposed TSS metric performs best
- Other possible applications
  - Comparing transformations only makes sense when related to input data
  - Compound metrics do that in a systematic way

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# On evaluating consensus in RANSAC surface registration

Lukáš Hruda, Jan Dvořák, Libor Váša **Thank you** 

Feel free to download the reference implementation http://meshcompression.org/sgp2019

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